



9-27-04

Receipt  
JPC**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. Baker, et al. Docket No.: 39780-2830P1C6  
Serial No.: 10/007,194 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Hamud, Fozia M.  
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

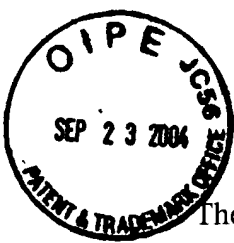
Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,915 filed 9/24/1998.--

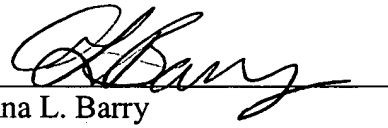
CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: EL 993 692 133 US			
Typed or printed name	Sandra Puljic		
Signature	<i>Sandra Puljic</i>	Date	September 23, 2004



The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Attv. Docket No. 39780-2830P1C6).

Respectfully submitted,

Dated: September 23, 2004

  
Anna L. Barry  
Reg. No. 51,436

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Please type plus sign (+) inside this box ☐

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/007,194
Filing Date	December 6, 2001
First Named Inventor	Kevin P. Baker, et al.
Group/Art Unit	1647
Examiner Name	Fozia M. Hamud

Total Number of Pages in This Submission

14 pages

Attorney Docket Number

39780-2830P1C6

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Copy of Preliminary Amendment as Filed; Copy of Updated Filing Receipt; and a Return Receipt Postcard
<div>Remarks</div> <p><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b></p>		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature			
Date	September 23, 2004	Customer Number:	35489/09157

## CERTIFICATE OF MAILING (37CFR 1.10)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Typed or printed name Sandra Puljic

Signature

Date

September 23, 2004

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/007,194	12/06/2001	1653	740	GNE.2830P1C6	249	6	1

30313

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NEWPORT BEACH, CA 92660



CONFIRMATION NO. 3544

## UPDATED FILING RECEIPT



\*OC000000008517538\*

Date Mailed: 07/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

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## Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,803 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,821 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,843 09/02/1998  
AND CLAIMS BENEFIT OF 60/099,536 09/09/1998  
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AND CLAIMS BENEFIT OF 60/101,915 09/24/1998  
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CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
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AND CLAIMS BENEFIT OF 60/141,037 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

#### **Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
PCT/US99/30095 12/16/1999  
PCT/US00/00219 01/05/2000  
PCT/US00/00376 01/06/2000  
PCT/US00/03565 02/11/2000  
PCT/US00/04342 02/18/2000  
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PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted 03/19/2002**

**Projected Publication Date: 10/31/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

#### **Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





Patent Docket P2830P1C6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/007194  Filed: 12/6/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  August 29, 2002  <i>Patricia Terrill</i> Patricia Terrill
---	--

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the

**Patent Docket: P2830P1C6**

**Serial No.: 10/007194**

**Filed: 12/6/2001**

National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101915 filed 9/24/1998.--

**Patent Docket:** P2830P1C6  
**Serial No.:** 10/007194  
**Filed:** 12/6/2001

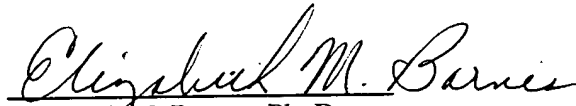
**REMARKS**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,  
GENENTECH, INC.

Date: August 29, 2002

By:

  
Elizabeth M. Barnes, Ph. D.  
Reg. No. 35,059  
Telephone: (650) 225-4563



**09157**

PATENT TRADEMARK OFFICE

**Patent Docket: P2830P1C6**

**Serial No.: 10/007194**

**Filed: 12/6/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.



**In Re Application of:** Kevin P. Baker et al.

Serial No: 10/007,194

Docket No: P2830P1C6

Filed on: December 6, 2001

By: Elizabeth M. Barnes

Mailed on: August 29, 2002

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

☒ Preliminary Amendment  
☒ Post card

